

Attorney's Docket No.: 12361-014002



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : X. Steve Yao    Art Unit: 2873  
Serial No.: 10/005,745    Examiner: Loha Ben  
Filed : November 2, 2001  
Title : PHOTONIC VARIABLE DELAY DEVICES BASED ON OPTICAL  
BIREFRINGENCE (AS AMENDED)

Reissue Application of Original Patent No. 5,978,273  
Patent Grant Date 11/2/1999

Commissioner for Patents  
Washington, D.C. 20231

MAY 01 2003

CSO 2800

**SUBMISSION OF ASSIGNMENT RECORD UNDER RULE 3.73 (b)**

Applicant filed a statement under CFR 3.73(b) executed on October 30, 2001 along with this reissue application on November 2, 2001. However, as Examiner corrected indicated, the filed statement under CFR 3.73(b) did not include the assignment records of the original Patent No. 5,978,125.

To complete the statement under CFR 3.73 (b), Applicant submits herewith the following:

- ☒ Duly executed assignment of the U.S. Patent No. 5,978,125 by X. Steve Yao to General Photonics Corporation on May 10, 2001.

CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

April 22, 2003

Date of Transmission

~~Signature~~  
Signature

Sharon Gebhart

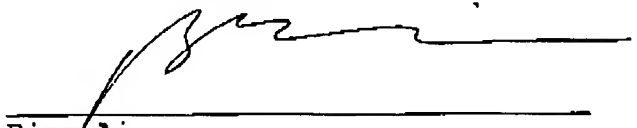
Name of Person Signing Certificate


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☒ Notice of Recordation of assignment document for the  
above assignment with a recordation date of June 4,  
2001 at Reel 011855 and Frame 0936.

Applicant respectfully submits that this reissue  
application, upon entry of a concurrent supplemental amendment,  
is now in full condition for allowance. Please apply any other  
charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: April 22, 2003  
Bing Ai  
Reg. No. 43,312

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UNITED STATES  
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MARCH 22, 2002

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4350 LA JOLLA VILLAGE DRIVE, SUITE 500  
SAN DIEGO, CA 92122UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/04/2001

REEL/FRAME: 011855/0936  
NUMBER OF PAGES: 2

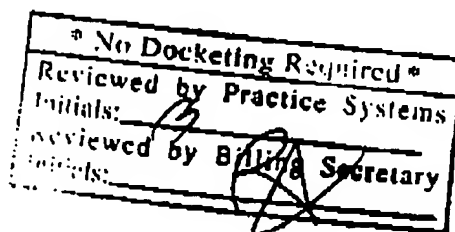
BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

YAO, X. STEVE

DOC DATE: 05/10/2001

## ASSIGNEE:

GENERAL PHOTONICS CORPORATION  
13766 ARAPAHOE PLACE  
CHINO, CALIFORNIA 91710SERIAL NUMBER: 08564920  
PATENT NUMBER: 5978125FILING DATE: 11/30/1995  
ISSUE DATE: 11/02/1999JACQUELINE MOORE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDSRECEIVED  
MAY 14 2001  
C 2800 MAIL ROOM

ASSIGNMENT

For valuable consideration, I, X. STEVE YAO of Diamond Bar, California, hereby assign to: General Photonics Corporation, a California corporation having a place of business at: 13766 Arapahoe Place, Chino, California 91710; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, entitled COMPACT PROGRAMMABLE PHOTONIC VARIABLE DELAY DEVICES, filed November 30, 1995, and assigned U.S. Serial Number 08/564,920, issued November 2, 1999, and assigned U.S. Patent Number 5,978,125; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Date: 5/10/01  
X. STEVE YAO

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